# IAP6 Rec'd PCT/PTO 05 JUN 2006

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/520,176 TRANSMITTAL Filing Date January 5, 2005 **FORM** First Named Inventor Yuuichi MURAYAMA, et al. Art Unit **Not Yet Assigned Examiner Name Not Yet Assigned** (to be used for all correspondence after initial filing) Attorney Docket Number 101551.55779US Total Number of Pages in This Submission

ENCLOSURES (check all that apply)							
Fee Transmittal Form Fee Attached  Amendment / Reply After Final Affidavits/declaration(s)  Extension of Time Request Express Abandonment Request Information Disclosure Statement  Certified Copy of Priority Documents  Response to Missing Requirements under 37 CFR 1.52 or		Drawing(s)  Licensing-related Page Petition  Petition to Convert to Provisional Application  Power of Attorney, Rechange of Correspond Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Landscape Tables	Affi  Apport  Apport	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Return Postcard			
	SIGN	ATURE OF APPLICANT,	ATTORNEY, OR AGEN	IT			
Firm Name	CROWELL & MO						
Signature	Robert 7 Hobort						
Printed Name	ROBERT L. GRABAREK, JR.						
Date	May 31, 2006		Reg. No	40,	625		
CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.							
Signature	Blan	a Minos					
Typed or printed name	Blanca Muno			Date	May 31, 2006		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 10/520,176 **Application Number** FEE TRANSMITT Filing Date January 5, 2005 For FY 2006 First Named Inventor Yuuichi MURAYAMA, et al. **Examiner Name** Not Yet Assigned Applicant claims small entity status. See 37 CFR 1.27 Art Unit Not Yet Assigned TOTAL AMOUNT OF PAYMENT 0.00 Attorney Docket No. 101551.55779US METHOD OF PAYMENT (check all that apply) ✓ None Credit Card Check Money Order Other (please identify): ✓ Deposit Account Deposit Account Number: <u>05-1323</u> Deposit Account Name: Crowell & Moring LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.) 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES** SEARCH FEES **EXAMINATION FEES** Small Entity Small Entity **Small Entity Application Type** Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 250 200 100 Design 200 100 100 130 50 65 Plant 200 100 300 150 160 80 300 Reissue 150 **500** 600 300 250 Provisional 200 100 0 0 0 2. EXCESS CLAIM FEES Small Entity Fee Description Fee (\$) Fee (\$) 50 Each claim over 20 (including Reissues) 25 200 100 Each independent claim over 3 (including Reissues) Multiple dependent claims 360 180 **Total Claims Extra Claims** Fee Paid (\$) **Multiple Dependent Claims** Fee (\$) 50.00 Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20. Indep. Claims **Extra Claims** Fee (\$) Fee Paid (\$) - 3 or HP = 200.00 HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Total Sheets Extra Sheets Fee (\$) Fee Paid (\$) \_\_ (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): SUBMITTED BY Registration No. 40,625 Telephone 949-263-8400 Signature (Attorney/Agent) Name (Print/Type) Robert L. Grabarek, Jr. Date May 31, 2006

This collection of information is required by 37 CFR 1,136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No.: 10/520,176

Confirmation No. 7682

Applicant

: Yuuichi MURAYAMA, et al.

Filed TC/A.U.

Examiner

: January 5, 2005: Not Yet Assigned: Not Yet Assigned

Docket No.

: 101551.55779US

Customer No. : 23911

# Mail Stop PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371

Sir:

Applicants received a Notification of Missing Requirements for the abovereferenced patent application. The Notification was mailed by the Office on March 31, 2006.

Applicants respectfully submit that an executed Declaration of the Inventors has already been filed in the patent application. The executed Declaration was filed in the Office on January 24, 2005. A copy of the executed Declaration, the Transmittal Letter, and the Receipt Postcard stamped with the date of receipt by the Office are enclosed for the Office's reference. Therefore, Applicants respectfully submit that the Notification was sent in error and that an executed Declaration is not currently required to be filed in the application.

Applicants also respectfully submit that no fee was required, or currently is required, in connection with filing of the Declaration in the application on January 24, 2005. Applicants respectfully submit that the Declaration was filed

in the application prior to thirty (30) months from the earliest claimed priority date, i.e., August 12, 2002.

Therefore, Applicants respectfully submit Applicants are not required to file a Declaration and pay a fee in response to the Notification. The Office is invited to contact the undersigned should there be any questions with respect to this Response. Please credit any overpayments or charge any fees to the Deposit Account of Crowell & Moring LLP, Account Number 05-1323 (Docket No. 101551.55779US).

Respectfully submitted,

CROWELL & MORING LLP

Dated: May 31, 2006

Robert L. Grabarek, Jr.

Reg. No. 40,625

Tel.: (949) 263-8400 (Pacific Coast)

#### Attachments

Intellectual Property Group P.O. Box 14300 Washington, D.C. 20044-4300

## CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8A)

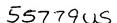
I hereby certify that this correspondence is, on the date shown below, being:

MAILING FACSIMILE

deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. □ transmitted by facsimile to the Patent and Trademark Office.

May 31, 2006

Blanca Munoz





23911

### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS FO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/520,176 Yuuichi Murayama 101551.55779US

INTERNATIONAL APPLICATION NO.

PCT/JP02/08209

I.A. FILING DATE PRIORITY DATE

08/12/2002

07/11/2002

**CONFIRMATION NO. 7682 371 FORMALITIES LETTER** 

\*OC000000018425111\*

INTELLECTUAL PROPERTY GROUP P.O. BOX 14300 WASHINGTON, DC 20044-4300

Date Mailed: 03/31/2006

**CROWELL & MORING LLP** 

MISSING REQ 5-34-006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 01/05/2005
- Copy of IPE Report filed on 01/05/2005
- Preliminary Amendments filed on 01/05/2005
- Information Disclosure Statements filed on 01/05/2005
- Small Entity Statement filed on 01/05/2005
- Request for Immediate Examination filed on 01/05/2005
- U.S. Basic National Fees filed on 01/05/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter. Marine Commission Commission

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

# • \$65 Surcharge:

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/520,176	PCT/JP02/08209	101551.55779US

FORM PCT/DO/EO/905 (371 Formalities Notice)

Crowell & Moring LLP

Today's Date: January 24, 2005

Att'y Docket: First Named Inventor:

101551.55779US Yuuichi MURAYAMA, et al.

Serial No.: Filing Date:

To Be Assigned January 5, 2005

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Transmittal Letter to the US Designated Office
- **Executed Declaration and Power of Attorney** X
- **Small Entity Declaration**

HIC:vgp



DUE DATE: N/A

### ATTORNEY'S DOCKET NUMBER:

TRANSMITTAL LETTER TO THE UNITED STATES 101551.55779US DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (if known, see 37 CFR 1.5) CONCERNING A FILING UNDER 35 U.S.C. 371 TO BE ASSIGNED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP02/08209 August 12, 2002 TITLE OF INVENTION METHOD FOR SUPPRESSING PROLIFERATION OF ABNORMAL PRION PROTEIN APPLICANT(S) FOR DO/EO/US Yuuichi MURAYAMA et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)). is transmitted herewith (required only if not transmitted by the International Bureau). has been transmitted by the International Bureau is not required, as the application was filed in the United States Receiving Office (RO/US) An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 
is attached herewith. b. has been previously submitted under 35 USC 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

# An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))

An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

#### Item 11. to 20. below concern other document(s) or information included:

An Information	Disclosure St	tatement unde	er 37	CFR	1.97 and 1.	98.
4	An Information I	An Information Disclosure S	An Information Disclosure Statement und	An Information Disclosure Statement under 37	An Information Disclosure Statement under 37 CFR	An Information Disclosure Statement under 37 CFR 1.97 and 1.

- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- A preliminary amendment.
- 14. An Application Data Sheet under 37 CFR 1.76.
- 15. A substitute specification.
- 16. A power of attorney and/or change of address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825.
- 18. A second copy of the published international application under 35 USC 154(d)(4).
- 19. A second copy of the English language translation of the international application under 35 USC 154(d)(4)
- 20. Other items or information:
  - a. Small Entity Declaration
  - b.
  - c.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5		INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER		
To Be Assigned			PCT/JP02/08209			101551.55779US	
21. The following fees a	21. The following fees are submitted:						
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c) Search fee							
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						Charged	J
a. Check No.	in the amount	of \$	for the filing	fee is	enclosed		
b. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. =) in the amount of \$ to cover							
the above fees. A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment							
to Deposit Account No. 05-1323 (Attorney Docket No. 101551.55779US.) A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to reprive (37 CFR 1.137(a) or (b))							
must be filed and granted to restore the application to pending status.							
						MINIST	
SEND ALL CORRESPONDENCE TO:							
Crowell & Moring, LLP					SIGNATURE		
Intellectual Property Group					Herbert I. Cantor		
P.O. Box 14300 Washington D.C. 20044 4300					NAME		
Washington, D.C. 20044-4300 Tel. No. (202) 624-2500					24,392		
Fax No. (202) 624-2500				REGISTRATION NUMBER			
Ref Initials HIC:vgp				January 24, 2005 DATE			